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PTO/SB/17 (12-04)

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ 180.00)**Complete if Known**

Application Number	10/709,710
Filing Date	05/24/2004
First Named Inventor	Nien-Hui HSU
Examiner Name	BLACKMAN, ROCHELLE ANN J
Art Unit	2851
Attorney Docket No.	OTMP0079USA

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: **50-3105** Deposit Account Name: North America Intellectual Property Corp.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)** **Multiple Dependent Claims**

- 20 or HP = _____ x _____ = _____ **Fee (\$)** **Fee Paid (\$)**

HP = highest number of total claims paid for, if greater than 20

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

- 3 or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets **Extra Sheets** **Number of each additional 50 or fraction thereof** **Fee (\$)** **Fee Paid (\$)**

- 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: submission of Information Disclosure Statement

Fees Paid (\$)

180.00

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SUBMITTED BY

Signature	<i>Winston Hsu</i>	Registration No. (Attorney/Agent)	41,526	Telephone	302-729-1562
Name (Print/Type)	Winston Hsu	Date	10/24/2005		

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**North America
Intellectual Property Corporation**

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Art Unit: 2851

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From : Winston Hsu, Registration No. 41,526

Serial No.: 10/709,710

Attorney Docket No.: OTMP0079USA

Subject: Information Disclosure Statement (IDS)

Total Pages: 28 pages (including cover page)

Winston Hsu 2005/10/24

OTMP0079USA0_D1_1

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PTO/SB/21 (09-04)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/709,710	
	Filing Date	05/24/2004	
	First Named Inventor	Nien-Hui HSU	
	Art Unit	2851	
	Examiner Name	BLACKMAN, ROCHELLE ANN J	
Total Number of Pages in This Submission	27	Attorney Docket Number	OTMP0079USA

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	10/24/2005	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature	<i>Cindy Lin</i>		
Typed or printed name	Cindy Lin	Date	10/24/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/709,710
Filing Date	05/24/2004
First Named Inventor	Nien-Hui HSU
Art Unit	2851
Examiner Name	BLACKMAN, ROCHELLE ANN J
Attorney Docket Number	OTMP0079USA

Sheet	1	of	1
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	1*
		Country Code* ² Number * ³ Kind Code* ⁴ (# known)	MM-DD-YYYY			
	2	TW 468801	12-11-2001			+

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicants: Nien-Hui Hsu; SHang-Hsuang Wu; Wen-Hsien Su
Filing Date: 05/24/2004 Art Unit: 2851
Appl. No.: 10/709,710 Docket No.: OTMP0079USA
Examiner: BLACKMAN, ROCHELLE ANN J
Confirmation No.: 3709

10

Title: INTAKE STRUCTURE

To: The Commissioner for Patents
P.O. BOX 1450
15 Alexandria, VA 22313-1450

Subject: Information disclosure statement Under
37C.F.R.§1.56.

20 Dear Sir:

This is an Information Disclosure Statement in
accordance with the duty to disclose information
material to patentability under 37 CFR §1.56. The
applicants wish to make of record the documents listed
25 on the accompanying form PTO/SB/08: Taiwanese Patent
TW 468801 (published on December 11, 2001) and US
6,345,896 (issued on February 12, 2002).

Since the IDS is filed before the mailing date of any
30 of a final Office action, and is accompanied by the fee
set forth in § 1.17(p), consideration of the information
disclosure statement is hereby requested according to 37 CFR

10/25/2005 EFLORES 00000004 503105 10709710

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\$1.97(c). The prior art patents listed on PTO/SB/08 were mailed from the Taiwan Intellectual Property Office on November 28, 2003 for a counterpart Taiwanese patent application. Applicants sincerely hope that the examiner
5 can consider the items contained in the information disclosure statement.

According to the requirement set forth in 37 CFR \$1.98 and MPEP 609, applicants are submitting a copy
10 of Taiwanese Patent TW 468801.

A translation of the abstract of Taiwanese Patent TW 468801 is as follows: The invention provides an optical engine of an LCD projection device. The optical
15 engine includes a housing. A first LCD light valve, a second LCD light valve, and a third LCD light valve are respectively installed inside the rear of the housing for modulating a first chromatic light, a second chromatic light, a third chromatic light, which
20 are capable of being combined to white light by a X-Cube, then the white light are projected by a projection lens in front of the housing. There is height difference between optical components located in the rear housing and the lens located in the front housing so as to form
25 an optical axis of optical components and an optical axis of projection. The optical engine includes a second fan disposed on the housing corresponding to the top region of the first LCD light valve, the second LCD light valve, and the third LCD light valve. A
30 suction direction of the second fan is perpendicular to a vent direction of the second fan, so that the heat generated by the LCD light valves located below the

second fan is dissipated upwardly and vented out from
a vent on a lateral of the housing.

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10

Respectfully submitted,

15

Date: October 24, 2005

Winston Hsu, Patent Agent No. 41,526

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Note: Please leave a message in my voice mail if you need to
talk to me. (The time in D.C. is 12 hours behind the Taiwan
time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)